

Risk factors and inflammatory predictors for Anastomotic Leakage following Total Mesorectal Excision with defunctioning stoma

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ABSTRACT:

Background: This study aims to examine the factors involved in anastomotic leak (AL) following low anterior resection and total mesorectal excision (LAR-TME) and to determine the usefulness of early measurement of the inflammatory biomarkers C-Reactive Protein (CRP) and Procalcitonin (PCT).

Methods: One hundred patients undergoing LAR-TME with proximal diverting stoma were analysed between 2013 and 2016. Postoperative CRP and PCT levels were measured on the 3rd and 6th postoperative days.

Results: There were 11 clinical leaks with a negative impact in univariate analysis on AL of male gender, larger and stenotic tumours, intraoperative blood loss > 200 mL, need for perioperative blood transfusion, postoperative anaemia and operating time exceeding 180 minutes. Upon multivariate analysis, only perioperative blood transfusion was an independent AL risk factor. Recorded CRP was higher in AL patients when compared with non-AL cases on both the 3rd postoperative day (152.4 mg/L vs 93 mg/L, respectively; $P < 0.0001$) and the 6th postoperative day (130.5 mg/L vs 68.2 mg/L; $P < 0.0001$). PCT levels also significantly differed between AL and non-AL cases on the 3rd postoperative day (0.5 ng/mL vs 0.2 ng/mL, respectively; $P < 0.0001$) and the 6th postoperative day (1.16 ng/mL vs 0.1 ng/mL, respectively; $P < 0.0001$). Both CRP and PCT showed high negative predictive values (NPV) for the diagnosis of AL on both postoperative days.

Conclusion: Following low restorative proctectomy, high NPV of CRP and PCT measurements for the diagnosis of anastomotic leaks may assist decision-making for early hospital discharge.

KEYWORDS:

Anastomotic Leak; Low Anterior Resection; C-Reactive Protein; Procalcitonin; Biomarkers

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INTRODUCTION

Anastomotic leak (AL) following colorectal surgery can be a devastating adverse postoperative event, with an incidence variably reported at between 3 and 39%, overall (1). Systematic analyses have identified the number of patient, disease and surgeon related factors implicated in AL (2 - 4). The long-term consequences of AL following rectal or anal anastomosis include an overall worse functional outcome (5) the occasional need for permanent stoma and potentially higher local recurrence rate in those cases performed for rectal carcinoma (6). Early diagnosis and treatment of AL is crucial in reducing the likelihood of such an unfavourable outcome or the structural sequelae such as fistula, sinus formation and stricture, each of which can seriously impact the patient's quality of life (7).

Analysis of the potential risk factors controlling AL after total mesorectal excision will affect operative decision-making including, most importantly, the utilisation of temporary diverting stoma. Many existing studies examining these variables are limited by the retrospective nature of their design and by considerable heterogeneity concerning both the definition of AL itself and the range of its managements once diagnosed (8). In the current era of fast-track protocols of management following colorectal anastomoses, significant delays in the diagnosis of a potentially life-threatening AL will also substantially increase the length of hospital stay, along with hospital re-admission rates and overall cost (9). The expected

inflammatory response following colorectal surgery has led some groups to focus on specific postoperative inflammatory markers as early predictors for AL (10). One example is C-reactive protein (CRP), an acute-phase serum reactant induced by an up-regulated postoperative cascade of pro-inflammatory cytokines. In this respect, meta-analyses have shown a high positive and negative predictive value of an elevated CRP in the early postoperative period for broad infectious complications following colorectal surgery (11) as well as for the specific prediction of AL (12). Procalcitonin (PCT) has emerged as a sensitive marker for the diagnosis and monitoring of sepsis (13) and has been shown to have a high negative predictive value for AL in the early postoperative period (14). The aims of this study are to define the risk factors for AL in an unselected cohort of patients undergoing restorative low proctectomy with covering proximal diversion following total mesorectal excision (TME) and to examine the diagnostic value of postoperative CRP and PCT measurements in the prediction of AL.

MATERIALS AND METHODS

Ethical permission was obtained from the local hospital ethics committee for the conduct and analysis of the study with prospective collation of data and blood sampling. Data for analysis from 100 unselected patients undergoing low anterior resection with total mesorectal excision (LAR-TME) were obtained between January

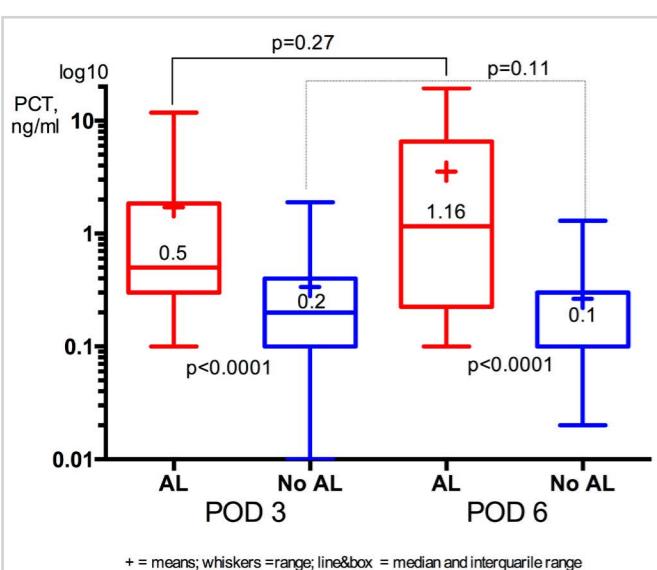


Fig. 1. Comparison of mean CRP values in AL and non-AL populations on the 3rd and 6th postoperative days.

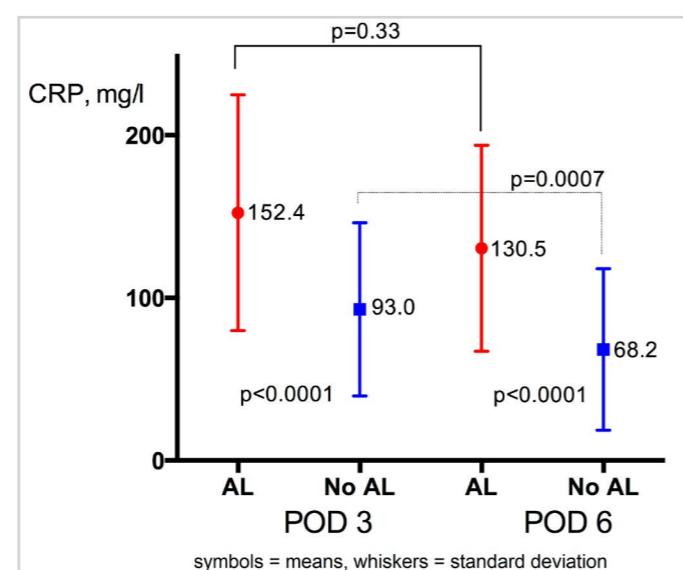


Fig. 2. Comparison of median concentration of PCT in AL and non-AL populations on the 3rd and 6th postoperative days.

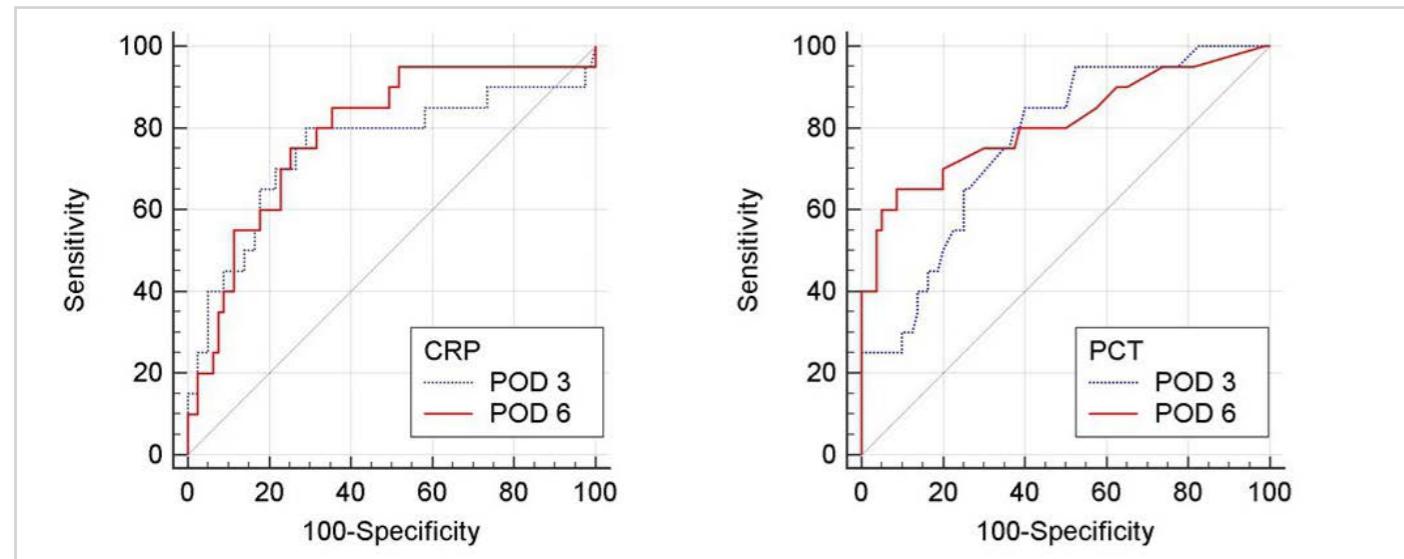


Fig. 3. Receiver operating characteristic (ROC) curves for C-Reactive Protein (mg/L) (Left-hand image) and Procalcitonin–PCT (ng/mL) (Right-hand image) for diagnosis of anastomotic leakage (AL) on the 3rd and 6th postoperative days.

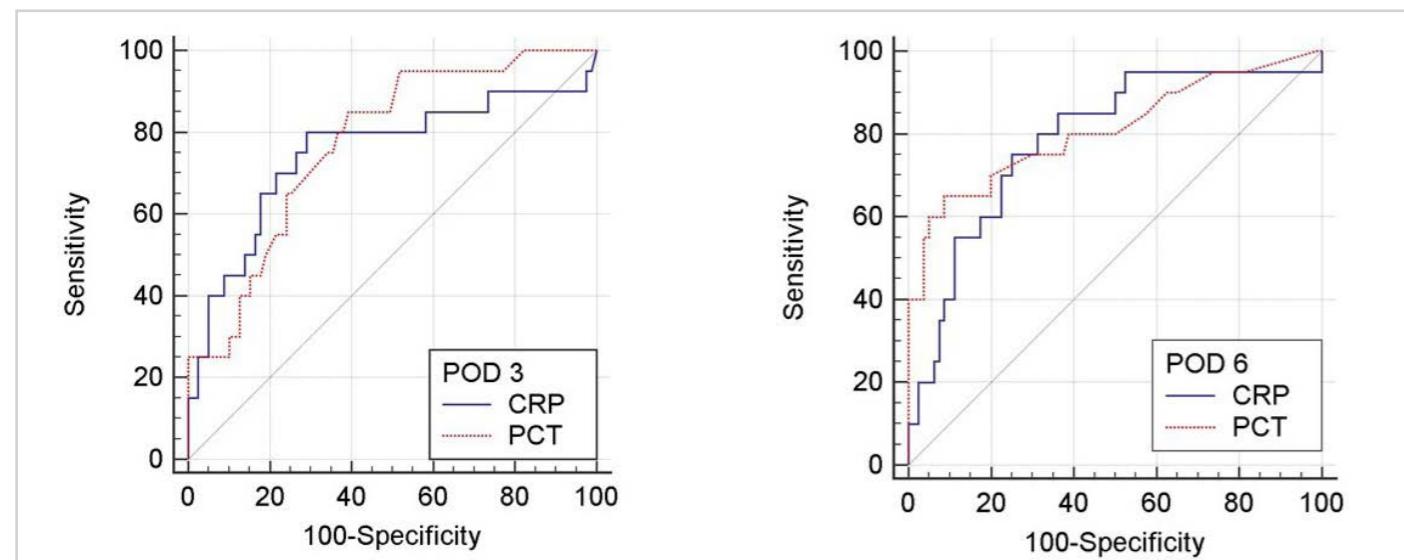


Fig. 4. Comparative receiver operating characteristic (ROC) curves for C-Reactive Protein (mg/L) and Procalcitonin–PCT (ng/mL) for diagnosis of anastomotic leakage (AL) on the 3rd (Left-hand image) and 6th (Right-hand image) postoperative days.

Tab. I. Characteristics of Study Population.

PARAMETER	RESULT
Mean age \pm SD years	62.4 \pm 9.2
Sex, n (%)	
Male	46 (46.0)
Female	54 (54.0)
Median BMI (range) kg/m ²	26.0 (18.4–39.4)
Smokers, n (%) \pm	32 (32.0)
Preoperative chemoradiation, n (%)	27 (27.0)
Tumour histology, n (%)	
Carcinoma	88 (88.0)
Adenoma	12 (12.0)
Tumour stage TNM ^a	
Stage 0 pT0N0Mo	2 (2.3)
Stage I pT1-2N0Mo	22 (25.0)
Stage II pT3-4N0Mo	33 (37.5)
Stage III pT1-4N1-2M0	24 (27.3)
Stage IV pT1-4N0-2M1	7 (7.9)
Obstructing tumor, n (%)	23 (23.0)
Median operation time (range) min	153 (90–420)
Surgical approach, n (%)	
Open	88 (88.0)
Laparoscopic	12 (12.0)
Mobilisation of splenic flexure, n (%)	43 (43.0)
Type of preventive stoma, n (%)	
Transversostomy	65 (65.0)
Ileostomy	35 (35.0)
Type of colorectal anastomosis, n	
Straight	81 (81.0)
Side-to-end	19 (19.0)
Reinforcement of anastomosis	31 (36.0)
On-table lavage in LBO	9 (9.0)
Transfusion of blood components	17 (17.0)

^aPercentage is calculated from the number of Adenocarcinomas (n=88)

\pm Mean smoking = 17.2 packs of cigarettes per annum

Legend: BMI – Body Mass Index, LBO – Large Bowel Obstruction, SD – Standard deviation

Tab. II. Postoperative Complications (16).

TYPE OF COMPLICATION	CLAVIEN – DINDO GRADE	N (%) ^a
Prolonged lymphorrhea \pm (>10 days)	I	1 (1.0)
Prolonged ileus (>5 days)	II	18 (18.0)
C. difficile colitis	II	11 (11.0)
Reactive pleural effusion	IIIa	1 (1.0)
Urinary retention	IIIa	7 (7.0)
Peristomal abscess	IIIa	1 (1.0)
Small bowel obstruction	IIIb	2 (2.0)
Incisional	IIIb	1 (1.0)
Anastomosis leakage (Grade B)	IIIa	7 (7.0)
Anastomosis leakage (Grade C)	IIIb	4 (4.0)
Generalised peritonitis	IVb	3 (3.0)
Death	V	2 (2.0)

^aPercentage calculated from 100 patients

\pm Prolonged lymph drainage via drain following extended pelvic lymphadenectomy

\pm Surgical site infection- subfascial abscess

und, clinical evidence of rectovaginal fistula and/or dehiscence of the anastomotic line detected either by digital rectal examination or by endoscopy. If the postoperative period was entirely uneventful, a routine water-soluble contrast enema (Gastrograffin® Bracco Russia) was performed on the 7th postoperative day. All other postoperative complications were recorded in accordance with the Clavien –Dindo classification (16).

Blood samples obtained by venepuncture for plasma PCT concentration measurement were centrifuged at 3000 rpm within 30 minutes of collection. Both PCT and CRP measurements were recorded for the 3rd and the 6th postoperative days. Procalcitonin levels were measured using the mini VIDAS® LUMItest PCT kit (Brahms Diagnostica BioMérieux SA, France) which employed an immunoluminometric assay in accordance with the manufacturer's instructions (detection limit 0.05 ng/mL). In the test, a dual antigen-antibody system is used which binds to two different PCT sites where luminescence after binding is measured with in-built software calculation by the automated Berilux Analyser 250 (Behring Diagnostics, Marburg Germany). C-reactive protein (CRP) levels were evaluated by ELISA (CX5PRO Beckman Coulter, USA) using a biotinylated detection antibody and an Avidin-Horseradish Peroxidase conjugate according to the manufacturer's instructions. The optical density (OD) of the reaction was measured spectrophotometrically at 450 nm with calculation of the CRP by comparison to a standard OD curve (Sensitivity 0.1 mg/L).

STATISTICAL ANALYSIS

Data were recorded onto Excel spreadsheets with analysis using SPSS 22.0 (Chicago, Ill.) and GraphPad Prism 6.0 (La Jolla, CA) software programs. Numerical variables were tested for normality distribution by the D'Agostino-Pearson transformation test with means (\pm SD) and medians (\pm range) presented where appropriate for normal and non-normal data, respectively. The unpaired t-test or the Mann-Whitney U test were used as appropriate for comparisons, with categorical variables compared using the Chi-square or Fisher's exact test where indicated. Odds ratios with 95% confidence intervals were calculated as an evaluation of the impact of individu-

Tab. III. Risk factors of anastomotic leakage (Univariate and Multivariate Analysis).

PARAMETER	AL (%)	OR	95%CI	P (UVA)	P (MVA)
Age ≤62 years ≥62 years	11/54 (20) 9/46 (20)	1.01	0.39–2.83	1.00	
Sex male female	15/46 (32.6) 5/54 (9.3)	4.74	1.57–14.35	0.005	0.61
Smoking yes no	8/32 (28) 12/68 (18.8)	1.56	0.56–4.29	0.43	
BMI (kg/m^2) ≥30 ≤30	2/10 (20) 18/90 (20)	1.00	0.20–5.12	1.00	
Preoperative CRT yes no	6/27 (22.2) 14/73 (19.2)	1.20	0.41–3.54	0.78	
Tumour histology Carcinoma Adenoma	18/91 (19.8) 2/9 (22.2)	0.84	0.17–4.51	1.00	
Tumour stage pTNM I, II III, IV	9/48 (18.8) 11/43 (25.6)	1.83	0.68–4.92	0.46	
Tumour size, cm ≥4.0 ≤4.0	18/56 (32.1) 2/44 (4.5)	9.94	2.16–45.73	0.0007	0.96
Obstructing tumour yes no	11/23 (47.8) 9/77 (11.6)	6.93	2.34–20.26	0.0005	0.09
Height of tumour over DL, cm ≤6.0 ≥6.0	10/35 (28.6) 10/65 (15.4)	2.20	0.81–5.96	0.13	
Preoperative Haemoglobin g/L ≤90 ≥90	1/7 (14.3) 19/93 (20.4)	2.05	0.22–19.48	1.00	
Preoperative albumin, g/L ≤35 ≥35	1/6 (16.6) 19/94 (20.2)	0.79	0.09–7.16	1.00	
Operating time, min ≥180 ≤180	11/35 (31.4) 9/65 (18.1)	2.85	1.05–7.77	0.048	0.37
Intraoperative blood loss, ml ≥200 ≤200	15/54 (27.8) 5/46 (10.9)	3.15	1.05–9.50	0.045	0.15
Splenic flexure mobilisation yes no	13/57 (22.3) 7/43 (16.3)	1.52	0.55–4.21	0.46	
High-tie of IMA yes no	3/15 (20) 17/85 (20)	1.00	0.25–3.94	1.00	
Anastomosis straight side-to-end	14/81 (17.3) 6/19 (31.5)	0.45	0.15–1.00	0.20	
Reinforcement of anastomosis yes no	3/36 (8.3) 17/64 (26)	0.15	0.07–0.3	0.002	0.21
Circular stapler diameter, mm 29 31	5/36 (13.9) 15/64 (23.4)	0.58	0.19–1.78	0.43	
On-table lavage for LBO yes no	16/86 (18.6) 4/14 (28.6)	0.62	0.16–2.06	0.47	
Type of preventive stoma, Transversostomy Ileostomy	7/35 (20) 7/65 (10.8)	2.07	0.66–6.48	0.24	
Transfusion of blood components yes no	10/27 (37.0) 10/73 (13.7)	3.71	1.33–10.35	0.02	0.034
Haemoglobin on POD3 g/L ≤90 ≥90	4/11 (36.4) 16/89 (17.8)	2.61	0.69–9.98	0.22	
Albumin on POD3, g/L ≤35 ≥35	19/82 (23.2) 1/18 (5.6)	3.71	1.33–10.35	0.11	

Legend: UVA—Univariate analysis, MVA—Multivariate analysis AL—Anastomotic Leak, OR—Odds ratio, CI—Confidence intervals, POD—Postoperative day(s), LBO—Large bowel obstruction, IMA—Inferior mesenteric artery, CRT—Chemoradiation, BMI—Body mass index

al risk factors with a multivariate analysis conducted to determine their statistical independence. Logistic regression was then used on independent variables for comparison. Receiver operating characteristic (ROC) curves were generated creating sensitivity/specificity pairs for varying decision thresholds of both biomarkers on the 3rd and the 6th postoperative days with the calculation of positive (PPV) and negative predictive values (NPV) and relative areas under the curve (AUC). P values <0.05 were considered significant.

RESULTS

Table I shows the demographic characteristics of the study cohort, which included 54 females (mean age 62.4 ± 9.2 years). Over one-quarter of the cases underwent neoadjuvant therapy with 23% described as obstructing or near-obstructing tumours. Nine cases underwent on-table colonic lavage for complete or incipient obstruction with 17 receiving perioperative transfusion. 81 patients underwent straight colorectal anastomosis with 19 patients having a Baker-style side-to-end restorative proctectomy and 31 patients requiring anastomotic suture line reinforcement. In 7 cases, synchronous non-anatomical wedge resections of hepatic metastases were performed. Table II outlines the postoperative Clavien-Dindo classification for the patient cohort with 58 complications occurring overall in 27 of the cases. The majority of complications were Grade I or II in nature requiring basic pharmacological interventions and simple non-operative therapies. There were 2 patients presenting with an early adhesive small bowel obstruction who required repeat laparotomy and adhesiolysis. One case required a second laparotomy because of retraction of the ileostomy with localised peritonitis. This latter patient required drainage, peritoneal lavage and refashioning of the stoma. There were two postoperative deaths. In one case, there was a significant leak from the stump end of a side-to-end anastomosis with concomitant pelvic sepsis. Repeat laparotomy performed on the 9th postoperative day with anastomotic resection and exteriorisation was unsuccessful with the patient dying of multi-organ failure. In the other case, the patient developed multi-organ failure and generalised sepsis most likely originating from a deep wound infection in the absence of either clinical or radiological signs of an AL.

Water-soluble contrast enema detected contrast extravasation in 9 patients whose postoperative course was uneventful and in no case where there was a demonstrable radiological leak in the absence of clinical features suggestive of an AL, was there any therapeutic requirement. A clinically significant AL developed in 11 patients, 7 of whom had a Grade B complication and 4 of whom were Grade C in type. Of the Grade B cases, all were managed successfully with intravenous antibiotics and with trans-anal, trans-anastomotic drainage and irrigation. Two of the Grade C patients underwent anastomotic resection with Hartmann procedure of the rectal stump and an end colostomy. The other 2 cases underwent a repeat laparotomy with abdominal lavage and intra-peritoneal drainage only without the need for dismantling of the anastomosis.

Table III shows the results of univariate analysis of factors implicated in postoperative AL development. There is a significant negative impact of male gender (OR=4.74, 95% CI 1.57–14.35; P=0.005) and measured Haemoglobin <90 g/l on the 3rd postoperative day (OR=2.60, 95% CI 1.07–9.98; P=0.04). Equally, a tumour size exceeding 4 cm in maximal diameter (OR=9.94, 95% CI 2.16–45.73; P=0.0007) and the

presence of an incipiently or frankly obstructing tumour (OR=6.93, 95% CI 2.34–20.26; P=0.0005), significantly increased the risk of postoperative AL. Other factors which increased the likelihood of AL included those cases where there was > 200 mL of intra-operative blood loss (OR=3.15, 95% CI 1.05–9.50; P=0.045), patients receiving perioperative blood or blood product transfusion (OR=10.43, 95% CI 3.24–33.61; P=0.02) and those where the operative time exceeded 180 minutes (OR=2.85, 95% CI 1.05–7.77; P=0.048).

By contrast, the relative risk for AL was substantially lowered in those cases undergoing anastomotic staple line suture reinforcement (OR=0.15, 95% CI 0.03–0.07; P=0.002). Age, BMI, a history of smoking, preoperative anaemia, tumour histology or stage, a high-tie of the inferior mesenteric artery, splenic flexure mobilisation or anastomotic type did not impact the likelihood of postoperative AL. All significant variables were placed into a multivariate analysis with only the presence of a perioperative blood transfusion remaining a significant independent variable implicated in postoperative AL (OR=3.71, 95% CI 1.33–10.35; P=0.034).

Figure 1 shows a box and whisker plot for CRP measurement on the 3rd and 6th postoperative days where the median CRP at both time periods was significantly higher in the AL group when compared with the non-AL group (P < 0.0001). There was less of a decrease in CRP between the time periods in AL cases (152.4 mg/L vs. 130.5 mg/L; P=0.33) when compared with a significant reduction in CRP over time in those cases without AL (93 mg/L vs 68.2 mg/L; P=0.0007). The plot of median PCT concentrations during postoperative time periods (Figure 2) shows a significant difference between AL and non-AL cases on both the 3rd (0.5 ng/mL vs 0.2 ng/mL, respectively; P < 0.0001) and the 6th (1.16 ng/mL vs 0.1 ng/mL; P < 0.0001) postoperative days. In non-AL cases, there is a slight fall in PCT concentration over time, whereas PCT level rises by the 6th postoperative day in patients with AL, although this increase was not statistically significant.

Figure 3 shows ROC curves generated for CRP and PCT on both postoperative days. For CRP, there was a cut-off of 120 mg/L for the diagnosis of AL on the 3rd postoperative day with a sensitivity/specificity pairing of 75% and 75%, respectively (P < 0.0001). On the 6th postoperative day, the CRP cut-off was 96 mg/L with a sensitivity/specificity pairing of 80% and 71%, respectively (P=0.0007). AL cut-off for PCT was calculated at 2.4 ng/mL on the 3rd postoperative day resulting in a sensitivity/specificity pairing of 85% and 60%, respectively. PCT cut-off for diagnosis of AL decreased by the 6th postoperative day down to 0.7 ng/mL resulting in a sensitivity/specificity pairing of 65% and 91%, respectively. Both CRP and PCT demonstrated a particularly high NPV for the diagnosis of AL on the 3rd postoperative day (92.3% vs 94.2%, respectively) as well as on the 6th postoperative day (93.4% vs 91.3%, respectively). The comparative area under the curve (AUC) estimates between CRP and PCT was similar (Figure 4) where on the 3rd postoperative day, AUC for CRP was 0.75 and that for PCT was 0.77 (P=0.75). Comparative AUCs on the 6th postoperative day for CRP and PCT were 0.77 and 0.75, respectively (P=0.8).

DISCUSSION

A number of studies have examined the principal factors implicated in AL following LAR-TME (17–20) as well as the clinical va-

lue of diverting stoma (21, 22). Our rate of symptomatic leakage (11%) is in line with other reports (19, 20, 23), with Tortorelli et al. (20) also reporting an adverse effect on AL with perioperative blood transfusion, and Bertelsen et al. (17) with perioperative blood loss. The expanded use of minimally invasive techniques for LAR-TME will also significantly impact upon the amount of perioperative blood loss and may indirectly affect AL risk. Male gender in particular, as has been reported in our study, is associated with a greater risk of AL in line with other reports (17, 24), where it has been independently suggested that a more selective decision for temporary protective stoma can be made in female cases (25). Similar to our study, there has been no report of a deleterious effect on the integrity of low rectal anastomoses imposed by preoperative radiation or by neoadjuvant chemoradiation (26). Smokers had a higher risk of AL upon univariate analysis but this disappeared in multivariate analysis. Others have reported similar findings where Sorensen and colleagues have suggested that prolonged preoperative cessation of smoking is likely to have a beneficial impact (27, 28).

In general, the decision for temporary protective stoma is not made independently of anastomotic height. The principal risk factor for AL is the distance of anastomosis from the anal verge where the lower the anastomosis, the greater the risk (17, 18, 20, 23). Utilisation of stoma reduces the risk for reoperation after anastomosis as well as clinical consequences of the leak and ultimately chances of permanent stoma. Its use does not, however, eliminate the likelihood of AL itself (29). Debate is continued, concerning the best type of proximal diversion; namely loop ileostomy vs transverse colostomy. Trial data are conflicting, where in some randomised controlled trials comparing the two techniques, there is an advantage concerning the reported incidences of stoma-related complications and the need for surgical revision for either loop ileostomy (30) or alternatively, for transverse colostomy (31).

Findings similar to ours, concerning the pro-inflammatory biomarker CRP have been reported by Zawadski et al. (32) but with slightly higher discriminatory values for AL in their study by the 3rd postoperative day. An almost identical level of CRP and its postoperative timing and sensitivity for the diagnosis of AL was recently reported by Scepanovic et al (33). Several studies have compared CRP at different time points following colorectal surgery (12) where Cousin et al. (34) found a greater discrimination with CRP for AL diagnosis by the 5th postoperative day. Such timing might be too late, however, for surgical teams, which discharge their patients earlier than this time point as part of their policy of

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